

**AD HOC GROUP OF THE STATES PARTIES  
TO THE CONVENTION ON THE PROHIBITION  
OF THE DEVELOPMENT, PRODUCTION AND  
STOCKPILING OF BACTERIOLOGICAL  
(BIOLOGICAL) AND TOXIN WEAPONS  
AND ON THEIR DESTRUCTION**

BWC/AD HOC GROUP/WP.319  
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**Working paper submitted by the United States of America**

**I. PROPOSED TEXT FOR ARTICLE III - DECLARATIONS**

**CURRENT BIOLOGICAL DEFENCE DECLARATION**

8 bis. Each State Party shall submit to the Organization, not later than [...] days after this Protocol enters into force for it and on an annual basis thereafter, not later than ... of each successive year, a declaration, in which it shall:

**(National Activities)**

(a) Declare, in accordance with Appendix [X], whether, at any time during the previous calendar year, it has conducted activities which directly protect or directly defend humans, animals, or plants against the use of microbial or other biological agents and toxins for hostile purposes or in armed conflict;<sup>1</sup>

(b) Declare the following information, in accordance with Appendix [X], regarding any research or development activities that were a part of the activities declared pursuant to subparagraph (a) of this paragraph:

(i) the general objectives of such research or development activities; and

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<sup>1</sup> Format would require a Yes/No answer.

- (ii) a summary of research or development activities on prophylaxis, pathogenicity and virulence, diagnostic techniques, aerobiology, medical treatment, or toxinology/toxicology;

**(Government Facilities)**

(c) For each site where more than [...] person years of technical or professional staff effort were devoted to activities referred to in subparagraph (b) (ii) of this paragraph, declare, in accordance with Appendix [X], each government facility<sup>2</sup> where such activities were conducted;

**(Non-Government Facilities)**

(d) List, and provide general information on, in accordance with Appendix [Y], each non-governmental facility that received government funds or resources to support, and devoted more than [...] person years of its technical or professional staff effort to, activities referred to in subparagraph (b) (ii) of this paragraph;

(e) If fewer than [...] non-governmental facilities were subject to listing pursuant to subparagraph (d) of this paragraph, the provisions of this subparagraph shall apply. List, and provide general information on, in accordance with Appendix [Y], the [...] non-governmental facilities, or all non-governmental facilities if there were fewer than [...], that received government funds or resources and where the greatest number of person years of technical or professional staff effort were devoted to activities referred to in subparagraph (b) (ii) of this paragraph;

**(Minimum Declaration Requirement)**

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<sup>2</sup> For the purposes of this Protocol, the term “facility” means the room(s), laboratory(ies), or structure(s) that are used, either individually or in combination, to conduct an activity or activities, and that are located on the territory of a State Party or in any other place under the jurisdiction or control of a State Party.

(f) If fewer than [...] facilities are subject to declaration under subparagraph (c) of this paragraph, the provisions of this subparagraph shall apply. Declare in accordance with Appendix [X], the [...] facilities (whether governmental or non-governmental), or all such facilities if there were fewer than [...], where the greatest number of person years of technical or professional staff effort were devoted to activities referred to in subparagraph (b) (ii) of this paragraph.

**(Definitions)**

8 ter. For purposes of paragraph 8 bis:

(a) “Site” means the local integration of one or more facilities, with any intermediate administrative levels, under one operational control, including common infrastructure such as administration and other offices, repair and maintenance shops, medical centre, utilities, central analytical laboratory, research and development laboratories, central effluent and waste treatment area, and warehouse storage, which is located on the territory of a State Party or in any other place under the jurisdiction or control of a State Party;

(b) “Government facility” means a facility that is wholly or partially government owned or that is wholly or partially government operated;

(c) “Non-governmental facility” means a facility that is not wholly or partially government owned and that is not wholly or partially government operated.

II. PROPOSED TEXT FOR APPENDIX [Y]:

INFORMATION TO BE PROVIDED IN CURRENT BIOLOGICAL  
DEFENCE LISTING FORMAT

**Non-Governmental Facilities**

1. Do you have a non-governmental facility or facilities that received government funds or resources to support, and that devoted more than [...] person years of its technical or professional staff effort to research and development activities on prophylaxis, pathogenicity and virulence, diagnostic techniques, aerobiology, medical treatment, or toxinology/toxicology activities, and which directly protect or directly defend human, animals, or plants against the use of microbial or other biological agents and toxins for hostile purposes or in armed conflict?

\_\_\_Yes                      \_\_\_No

2. If yes, provide the following information on each listed facility:

- Name of the non-governmental facility:
- Address:
- Postal address, if different than above:
- Estimate the number of person years of technical or professional staff effort devoted to the activities noted above:
- Estimate the monetary amount of government funding in the calendar year:
- Provide a brief description of the objective(s) of the work (ten lines or less):
- Estimate the duration of the contract or grant:

\_\_\_less than one year              \_\_\_1 to 3 years                      \_\_\_more than 3 years

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